Doctoral Dissertation Final Review

Development of On-Chip Communication Fault-Resilient Adaptive Architectures and Algorithms for 3D-IC Technologies

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June 19th, 2017

Preliminary Review Comments (1/2)

- Your slide does not show the fault models and fault assumptions. Please add them to better understand your algorithms. [Prof. Miyazaki]
- Why did you select TSV for 3D integration? Please add explanation about other technologies. [Prof. Kitamichi, Prof. Tsukahara].
- Please add an overview about the earlier proposed fault-tolerant routing algorithm. [Prof. Yoneda, Prof. Ben]
- Why the configuration parameters of your comparison with other methods is different. I recommend to use similar configuration parameters for better comparison. [Prof. Yoneda]

Preliminary Review Comments (2/2)

- Please add the explanation of the degradation on hardware complexity evaluation. [Prof. Tran]
- 6 Please add more information relating to the low-power in 3D-ICs. [Prof. Miyazaki, Prof. Ben]
- When the chips get hot, how to deal with thermal power? [Prof. Tsukahara]
- **3** The RAF value is similar to the fault rate (λ) , what is the reason of using this factor? [Prof. Yoneda]
- When the module handling fault-tolerance is also faulty, can your techniques handle them? [Prof. Tran]
- Why do not you apply Pipeline Computation Redundancy (PCR) for the other stages? [Prof. Yoneda]

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Era of Multi/Many-core processing

Constant increase of the number of cores → *multi/many-core processing*.

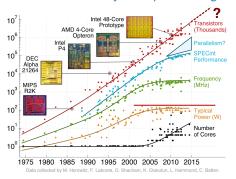


Figure 1: Integrated Circuit Scaling [1].

Interconnect delay becomes the major challenge.

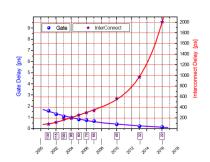


Figure 2: Gate and interconnect delay overtime [2].

To keep up with demands on computational power, we need to:

- Increase parallelism.
- Provide an efficient and low-power interconnect infrastructure to achieve better scalability, bandwidth, and reliability.

Design Challenges of Multi/Many-core systems

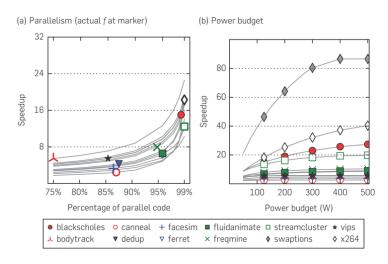


Figure 3: Challenge on *parallelism* and *power budget* on application speedup at 8nm [3].

Emerging Interconnect Paradigms

- RF/Wireless: Replacing on-chip wires by integrated on-chip antennas to communicate with electromagnetic waves, in free space or guided medium.
- Carbone Nanotube: Using of carbon-based interconnect to replace the Cu/low-k technology.
- Photonic: Using photon instead of electron to transfer data.
- Network-on-Chips: Electronic networks were designed on a chip to allow parallel data transmission.
- 3D Integration: Stacking multiple layers to obtain smaller footprints and shorter intra-layers interconnects.

3D Integration Technology

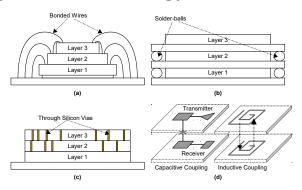


Figure 4: 3D Integration technologies: (a) Wire bonding; (b) Solder balls; (c) Through Silicon Vias (TSVs); (d) Wireless stacking.

Table 1: Performance and power: 3D vs 2D architecture [4].

	Kogge-Stone Adder		Log shifter 16		Log shifter 32	
# of input bits	16-bits			32-bits		
	Delay	Power	Delay	Power	Delay	Power
2 planes	-20.23%	-8%	-13.4%	-6.5%	-28.4%	-8%
3 planes	-23.60%	-15%	-	-	-	-
4 planes	-32.70%	-22%	-	-	-	-

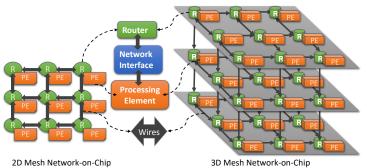
Comparison of 3D technologies

Table 2: 3D and 2D Technologies comparison.

Technology	2D SoC	Wire bond- ing	Solder balls	TSVs	Wireless stacking
	The state of the s	To Cve	And the state of t	CREAT Stock	Commission of the Commission o
Integration	low	high	high	very high	very high
Capacity					
Bandwidth	high	high	medium	very high	very low
Interconnect	medium	low	low	very high	high
density					
Yield	medium	medium	medium	considerable	N/A
Cost	very low	high	low	very high	N/A
Power Con-	medium	low	low	very low	N/A
sumption					

Images (c) Nvidia Inc., John H. Lau - ASM Pacific Technology, M. Sean et al. - Hitachi Ltd.

On-Chip Communication Network



Network-on-Chips is an on-chip communication infrastructure:

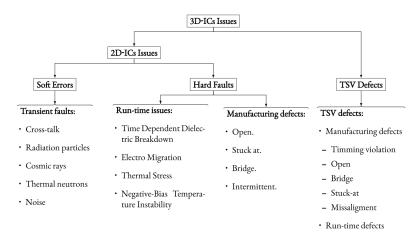
- Processing Elements (PEs) are attached to routers via Network Interfaces (NIs).
- Network is established from a set of routers in a specific form (topology, size, flit-width) and transaction protocols (node to node, end to end).
- Data (message/packet/flit) transmissions between PEs are handled by routing inside the network.

3D Network-on-Chip

- Among the existed interconnect infrastructure (e.g. Bus, Point-to-Point), Network-on-Chips have offered benefits on parallelism, scalability and resource usability.
- 3D integration is considered as the future of ICs that can improve the performance, reduce the footprint, decrease the power consumption, and offer multiple technologies integration.
- By using Network-on-Chips on 3D integration¹, we obtain 3D-Network-on-Chips (3D-NoCs) that inherits the benefits from the both technologies.
- Recently, NoCs are widely used for multi/many core processing.
 Therefor, 3D-NoCs will be the future paradigm of multi/many core processing 3D-ICs.
- However, due to the vulnerability of deep sub-micron devices and the high defect rate of TSVs, 3D-NoCs are predicted to encounter the reliability challenge.

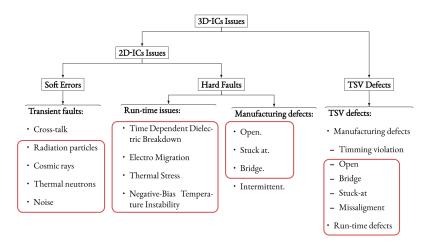
¹TSVs handle the vertical wires between routers.

Fault/Error Types of 3D-ICs/3D-NoCs



Beside the benefits, TSV-based 3D-ICs also have challenges on reliability. Especially, the *high defect rates* of TSVs are problematic. *Thermal removal difficulty* and *stress issues* also accelerate the fault rates

Fault/Error Types of 3D-ICs/3D-NoCs



Beside the benefits, TSV-based 3D-ICs also have challenges on reliability. Espec There are the fault/error types the proposed design can handle.

TSV-based Reliability Issues

- The defect rate is considerably high which also reduces the yield rate.
- Spare (redundancy) is required at the current technology.
- Hot-spots are observed in 3D-ICs which negatively impacts on the Mean Time To Failure of the system.
- The difference of thermal expansion coefficient between materials also creates stress issues.

Table 3: TSV Defect Rate Summary[7].

Work	TSV Pitch	Defect Rate	# TSV	Yield w/o Spare
IBM'05	$0.4\mu m$	13.9E-6	1k-10k	95% 98%
IMEC'06	$10\mu m$	40.0E-6	10k	67%
HRI'07	-	9.75E-6	100k	68%
HRI'09	-	7.95E-6	100k	≥90%
SAMSUNG'09	-	0.63%	300	15%

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Research Motivation

- Future TSV-based 3D-ICs need fault-tolerances in order to deal with their reliability issues.
- As considered as the backbone of future 3D-ICs, 3D-NoCs also need fault-tolerance methods to ensure the reliability of their communications.
- There are numerous number of fault-tolerance works on: soft errors, hard faults, and TSV defects; however, there is also a need of a comprehensive work that can handle all type of faults.
- Beside fault recovery, fault detection and diagnosis are also important aspects of fault resilience. Handling faults on-line also help reduce the threat giving by the occurred faults.
- To quickly assess the system reliability, there is a need for a fast and simple reliability assessment method.

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Goals and Contributions(1/3)

This dissertation provides a set of on-chip communication fault-resilient adaptive architectures and algorithms for 3D-NoC IC technologies. The following are the dissertation's contributions.

An Efficient Reliability Assessment Model for Early Design Stages

To provide a solution that help designers assess the reliability of NoCs system in the early design stages by using mathematical model.

Goals and Contributions (2/3)

2 A highly reliable comprehensive soft-errors and hard-faults resilient architectures, algorithms, and design methodologies

To provide a comprehensive fault-tolerance method that can handle both soft errors and hard faults. Moreover, a detection, diagnosis and recovery scheme is also proposed to help in on-line fault/error handling.

Goals and Contributions (3/3)

3 A scalable cluster-TSV defect tolerance for vertical connections

Because the cluster-defect is a critical issue that cannot be efficiently dealt by using redundancies, this dissertation proposes a cluster-TSV defect tolerance for 3D-NoCs. Instead of using redundancies, a highly efficient management method is used to maintain the vertical connection. In addition, several algorithms and architectures are added to significantly enhance the reliability of the vertical connections.

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Fault-tolerance

Table 4: Taxonomy of different error recovery protocols and architectures in 3D-NoCs. Classification: A: architecture, S: software and I: integration.

Fault Type	Position/Type	Fault Tolerant Method	Approach
Soft Errors	Data Path	Automatic Re-transmission Request	S
	Dala Falli	Error Detecting/Correcting Code	S
		Logic/Latch Hardening	A,I
	Control Logic	Pipeline Redundancy	S
		Monitoring and Correcting model	S
Hard Faults		Spare wire	Α
	Routing Technique	Split transmission	Α
		Fault-Tolerant routing algorithm	S
	Architecture-based	Hardware Redundancy	Α
	Technique	Reconfiguration architectures	Α
TSV Defects		Shifting	A,I
	Redundancy	Crossbar	A,I
		Network	A,S,I
	Management	Design awareness	I
	Management	Randomly distributed redundancy	A,I

Fault Tolerance Approaches (1/2)

- Architecture approach: adding redundancies or self configuring the system to handle the task of failed module.
 - Example: failed buffer slot isolation[8], router's module triple modular redundancy [9], TSV redundancies [10, 11].
 - Drawback: either having high area overhead (redundancy) or degrading the performance (self-configuration).
- Software approach: creating a check-point and roll-back when a fault occurs.
 - Example: pipeline stage redundancy [12].
 - Drawback: creating bottleneck by re-executing the failed task.

Fault Tolerance Approaches (2/2)

- Integration approach: hardening the systems by using protection or improving the reliability of backbone devices.
 - Example: TSV placement awareness [13],Logic/Latch Hardening [14].
 - Drawback: This type of approach leads to a highly complex design process.
- 4 Hybrid approach: combining multiple approaches to handle the fault.

Reliability Assessment Method

- Physical-level: calculate the reliability using physical characteristic. This method is more suitable for low complexity systems.
- System-level simulation: perform simulation of completed system to find the reliability. Faults are injected to observe the reaction of the system.
- Analytical model: use mathematical models to assess the reliability of the system. This method provides the quickest result.

Notable, work in [15, 16] methods have provided promising solutions for NoCs' reliability assessment; however, they lack the support for fault-tolerant, highly complex, and adaptive systems.

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Reliability Assessment Methodology (1/2)

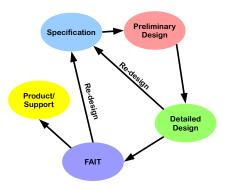


Figure 5: Stages of reliability assessment².

- To alleviate the risk of redesign, early assessment is essential, especially the first three stages.
- Reliability prediction for NoC systems is still immature.

²FAIT: Fabrication, Assembly, Integration and Test

Reliability Assessment Methodology (2/2)

Approach:

- A quantitative factor, which is called as Reliability Acceleration Factor, to represent the efficiency of the fault tolerant mechanism.
- A fault assumption to help calculate the failure rate of a system.
- An analytical model to assess the reliability of NoC systems using Markov-state model.

RAF: Reliability Acceleration Factor

RAF (Reliability Acceleration Factor), which represent the efficiency of the applied fault-tolerances, is given by the following equation:

$$RAF = \frac{\lambda_{original}}{\lambda_{FT}} = \frac{\mathbf{MTTF}_{FT}}{\mathbf{MTTF}_{original}} \ge 1 \tag{1}$$

Where:

- λ is the fault rate and it is the inverse value of Mean Time to Failure (MTTF).
- MTTF_{original} is the MTTF of the original system.
- MTTF_{FT} is the MTTF of the fault-tolerant system.

RAF vs λ :

RAF is designed to be independent from technology parameters and operation conditions. It only reflexes the efficiency of the fault-tolerant method.

Fault Rate Assumption

For a system with k components, its fault rate is given by:

$$\lambda_{system} = \frac{1}{\mathsf{MTTF}_{system}} = \sum_{i=1}^{k} f_i \pi_i \lambda_{unit}$$
 (2)

Where:

- unit is a selected module as a reference for calculation.
- π_i is the fault-rate ratio between the component and the *unit*.
- f_i is the fault-rate ratio after attaching the component to the system.

By using the same *unit*, MTTF of both fault-tolerance and original systems can be obtained. RAF, as the result of a division, will eliminate λ_{unit} to obtain a quantitative value.

Markov-state Model

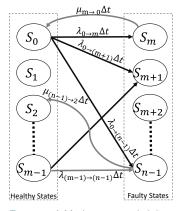


Figure 6: A Markov-state reliability model for an *n* states system with *m* non-faulty states.

Mean Time To Failure (MTTF) calculation as follows:

MTTF =
$$\int_{t=0}^{\infty} R(t) = \lim_{s \to 0} (R^*(s))$$
 (3)

where R(t) is the reliability function and $R^*(s)$ is its Laplace form.

Assume a system has n states of failure/healthy. \mathbb{H} is the set of healthy states. \mathbb{F} is the set of failed states.

$$R^*(s) = P(\mathbb{H}) = \sum_{S_i \in \mathbb{H}} P(S_i)$$
 (4)

By calculating the probability of each state (in Laplace domain), we can obtain the MTTF value.

Markov-state Model (cnt.)

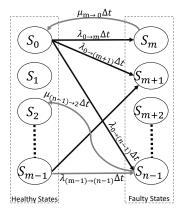


Figure 7: A Markov-state reliability model for an *m* states system with *n* non-faulty states.

Inside a Markov-state model, the transitions between states are indicated with:

- Fault-rate of a sub-module (λ): when a sub-module is failed, the state of the system may change to another state.
- Repair-rate of a sub-module

 (μ): when a sub-module is repaired, the state of the system may change to another state.

Reliability Assessment Methodology

Dividing:

- **1** A Network-on-Chip consists of N_R routers.
- 2 A router is divided into several modules.

Conquering:

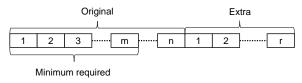
- For each module of a router, analyze it using one of the following model:
 - Model 0: non fault-tolerant module use the fault assumption (Eq. 2).
 - *Model 1*: spare or reconfiguration module.
 - Model 2: fault reduced module.
 - Model 3: module with fault-tolerance support.

Merging:

- A router reliability is obtained by Router Merging.
- A network reliability is obtained by Network Merging.

Model 1: spare/reconfiguration

This strategy handles faults using spare modules or by reconfiguring.



- Module has n separate identical parts.
- Module can function with at least m parts.
- Extra *r* spare parts are added in the design stage.
- *f* is the number of parts that are faulty in a state.

Lemma 1: The RAF values can be calculated as follows:

$$\mathsf{RAF}_{conv.} = \frac{\mathsf{MTTF}_{FT}}{\mathsf{MTTF}_{original}} = \Sigma_{i=m}^{n+r} \frac{n}{i} = 1 + \Sigma_{i=m}^{n-1} \frac{n}{i} + \Sigma_{i=n+1}^{n+r} \frac{n}{i}$$

$$\tag{5}$$

Model 2: fault reduced

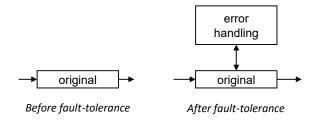
- For helping the platform being compatible with other reliability assessments, this model can integrate them together.
- With a fault reduction value f_{FT} given by the other technique, the new fault rate is obtained by Eq. 6.

$$\lambda_{FT} = f_{FT} \lambda_{original} \tag{6}$$

The RAF value can be obtained by:

$$RAF_{FT} = 1/f_{FT} \tag{7}$$

Model 3: module with fault-tolerance



Because the fault-tolerance technique may require additional modules for checking and correcting faults. These correction modules also add fault-rates.

Model 3: module with fault-tolerance

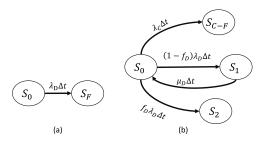


Figure 8: A simplified Markov-state reliability model for (a) the original system; (b) the fault-tolerant (FT) system.

Lemma 2: The RAF value can be then expressed as:

$$RAF_{FT} = f_D + \frac{\lambda_C}{\lambda_D} \tag{8}$$

Where

- λ_D is the fault-rate of the original system (D).
- λ_C is the fault-rate of the repair module of the FT system.
- ullet f_D is the fault reducing value by applying the fault-tolerance mechanism.

Reliability Assessment Methodology

Dividing:

- **1** A Network-on-Chip consists of N_R routers.
- 2 A router is divided into several modules.

Conquering:

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Merging:

- A router reliability is obtained by Router Merging.
- A network reliability is obtained by Network Merging.

Merging

Router

The fault rate of a router is summarized from its own N sub-modules (M_i) :

$$\lambda_{router} = \sum_{i=1}^{N} f_{M_i} \lambda_{M_i}$$
 (9)

Network

The fault rate of a network is summarized from three parts: (1) the local connection (router-PE), (2) the routing paths inside network and (3) other modules inside routers:

$$\lambda_{network} = \lambda_{local} + \lambda_{transmitting-path} + \lambda_{others}$$
 (10)

Network Merging

Three main parts of network are:

- $\lambda_{local} = N_R \times (2\lambda_{1-channel} + \lambda_{input-buffer})$ is the fault-rate of all local connections.
- $\lambda_{transmitting-path} = \lambda_{RTR} \times N_{RTR}$. λ_{RTR} is the fault-rate of all router-to-router (RTR) connections. N_{RTR} is the number of used RTR connections.
- λ_{others} is given by the fault-rates of other parts (non-routing parts) of routers.

Reliability of transmitting path

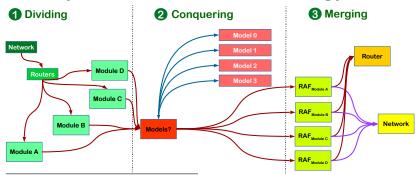
- A router-to-router (RTR) connection consists of: an input buffer, a crossbar link and an intra-router channel³.
- For transmitting path reliability, we use the k-failure
 [18] model: a router is disconnected at the presence
 of k failures⁴.
- For 3D-NoCs, we the k value depends on the position of the router and the efficiency of the fault-tolerant algorithm.⁵.

³The control logic is counted as other modules in the network equation (Eq. 10).

⁴Note: Not only the *k-failure* model, any reliability network assessment can be applied to obtain the $\lambda_{transmitting-path}$.

⁵Conner routers: k=3, middle routers: k= 6

Reliability Assessment Methodology



Related conference paper

(Test Symposium (ATS), pp. 161-166, Hiroshima, Japan, November 21-24, 2016. [MAJOR]

Related paper under second re-revision

Khanh N. Dang, Akram Ben Ahmed, Xuan-Tu Tran, Yuichi Okuyama and Abderazek Ben Abdallah, "A Comprehensive Reliability Assessment of Fault-Resilient Network-on-Chip Using Analytical Model", IEEE Transactions on Very Large Scale Integration Systems, (Under Minor Revision). [MAJOR], Submitted on February 1, 2017.

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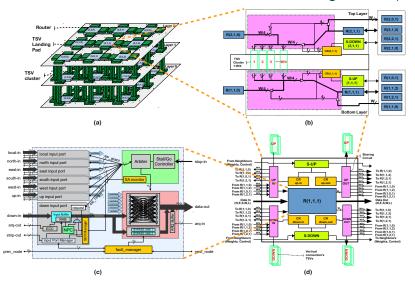


Figure 9: System architecture: (a) 3D NoC, (b) Interface between two routers from adjacent layers; (c) Router architecture; and (d) The wrapped router.

Fault Assumption

Hard Fault Assumption

- Hard faults only occur in the following positions: input buffer, crossbar and inter-router channel.
- Hard faults are modeled as stuck-at faults where the output values of faulty gates are always '0' or '1'.
- This type of faults occurs permanently.

Soft Error Assumption

- Soft errors can occur in data path or in the routing arbitrator (Next Port Computing and Switch Allocator).
- Soft errors are modeled as stuck-at faults where the output values of faulty gates are always '0' or '1'.
- This type of faults only occurs in a single clock cycle.

Proposed Algorithms and Architecture:

- A soft error resilience method, named as Pipeline Computation Redundancy (PCR), to handle soft errors on pipeline stage.
 - Multiple executions to detect and correct soft errors.
 - Since NextPortComputing/SwitchAllocation are the important part inside the network, we handle soft errors using PCR.
- A detection, diagnosis and recovery mechanism (DDRM) for handling the possible faults.
- As a summary, a comprehensive design of 3D-NoC system (3D-FETO) that can handle both soft errors and hard faults.

Related journal paper

Khanh N. Dang, Michael Meyer, Yuichi Okuyama and Abderazek Ben Abdallah, "A Low-overhead Soft-Hard Fault Tolerant Architecture, Design, and Management Scheme for Reliable High-performance Many-core 3D-NoC Systems", The Journal of Supercomputing, pp. 1-25, January 2017.

To complete the design, we adopted the following fault-tolerant methods:

- Error Correction Code: SECDED (Single Error Correction, Double Error Detection) [20] to protect data path against soft errors.
- Buffer Slot Fault Tolerance: Random Access Buffer[21].
- Crossbar Link Fault Tolerance: Bypass-Link-on-Demand[21].
- Intra-router Link Fault Tolerance: Lookahead-Fault-Tolerant (LAFT) routing algorithm[22].

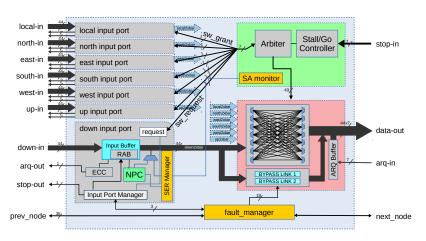
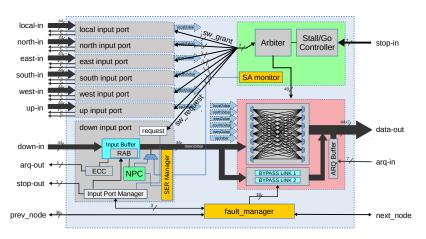


Figure 10: Adaptive 3D-FETO router architecture.



This 3D router is designed for 3D Mesh topology. There are three main components: input buffer, switch allocator and crossbar.

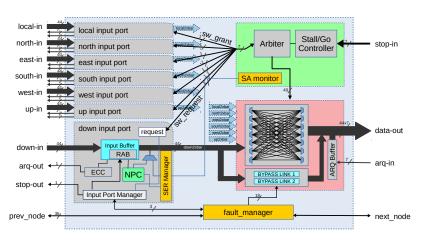
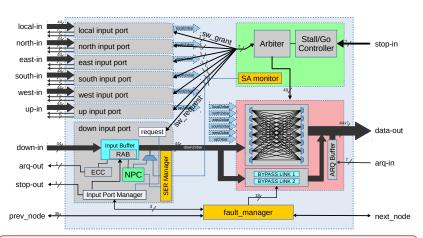
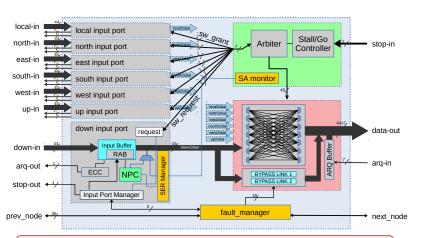


Figure 10: Adaptive 3D-FETO router architecture.

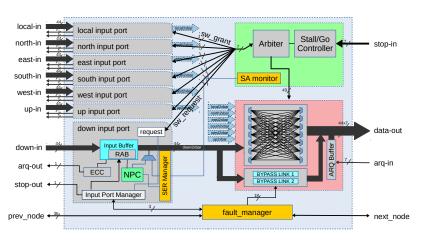
Each router has maximum 7 ports for 7 directions: Up, Down, North, East, South, West, and Local.



Incoming flits will be stored in input buffer (in *Buffer Writing* stage). After that, its routing information is read and processed by Next Port Computing (NPC) and Switch Allocation (SA). This is *Next Port Computing/Switch Allocation* stage.



NPC calculates the *next_port* value for the next node, as look-ahead routing. SA receives requests from all input ports and *grants* the transmission to the next node through the crossbar.



After getting the *grant* from SA and the new *next_port* value from NPC, the flit is forwarded to the next node through crossbar (*Crossbar Traversal* stage).

Overview of Hard Fault-Tolerances (1/2)

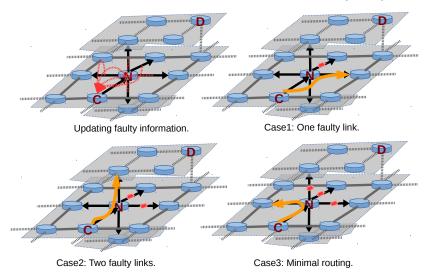


Figure 11: Look-Ahead-Fault-Tolerant Algorithm. C, N, and D are *current*, *next* and *destination* nodes, respectively. Black arrows: possible routing directions. Red doted arrows: updating faulty information. Orange arrows: routing decisions.

Overview of Hard Fault-Tolerances (2/2)

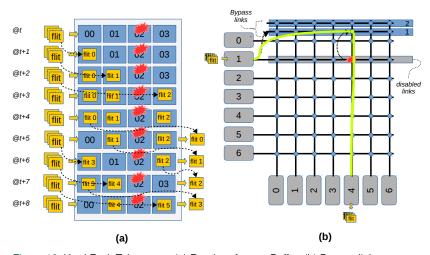


Figure 12: Hard Fault Tolerances: (a) Random Access Buffer; (b) Bypass-link on Demand.

Pipeline Computation Redundancy Algorithm

```
9 else
 // input flit's data
 Input: in flit
                                                // No soft-error on NPC
 // output flit's data
                                                final_next_port = next_port[1]
 Output: out flit
                                             // Soft-error on SA
 // Write flit's data into buffers
                                          if (qrants[1] \neq qrants[2]) then
                                                // roll-back and recalculate SA
1 BufferWriting(in flit)
 // Compute first time of NPC and SA 12
                                                grants[3] = SwitchAllocation(in flit)
                                                final grants =
2 next port[1] = NextPortComputing(in flit)13
grants[1] = SwitchAllocation(in flit)
                                                 MajorityVoting(grants[1,2,3])
                                          14 else
 // Compute redundant of NPC and SA
                                                // No soft-error on SA
4 next_port[2] = NextPortComputing(in_flit)
                                                final grants = grants[1]
5 grants[2] = SwitchAllocation(in flit)
                                             // After detection and recovery, the
 // Compare orginal and redundant to
                                                 algorithm finishes with CT
     detect soft-error
                                          16 out flit = CrossbarTraversal(in flit,
 // Soft-error on NPC
                                              final next port, final grants);
6 if (next_port[1] ≠ next_port[2]) then
     // roll-back and recalculate NPC
     next port[3] =
      NextPortComputing(in flit)
     final next port =
      MajorityVoting(next_port[1,2,3]);
```

Algorithm 1: Algorithm of Pipeline Computation Redundancy (PCR).

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Pipeline Computation Redundancy Timeline

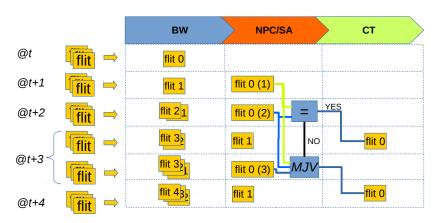
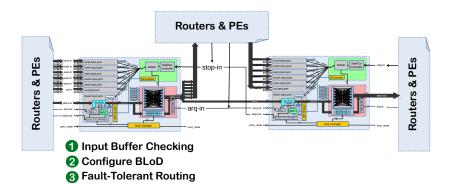


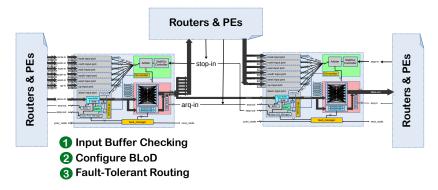
Figure 13: Pipeline Computation Redundancy (PCR).

Detection, Diagnosis and Recovery Mechanism Algorithm

```
// Automatic Retransmission Request
                                                9 Buffer Failure ←
 Input: transmitting flit
                                                   Buffer Checking(buffer position);
 // Transmitted Buffer Position
                                               10 if (Buffer Failure == Yes) then
 Input: buffer position
                                                     // Random Access Buffer is received the
 // Control signal to all Fault-Tolerance
                                                        position to handle.
     modules
                                                     RAB Control = buffer position:
 Output: RAB control, BLoD control,
                                                     Finish:
         LAFT control
                                                13 else
 // Transmit the flit, get the ECC's feedback
                                                     // The buffer slot is non faulty.
1 Transmit(transmitting flit);
                                                     // Move to Crossbar Checking: using a
2 ECC result = ECC-Decoder(transmitting flit);
                                                        Bypass-Link.
 // DETECTION PHASE:
                                                     BLoD control = enable;
                                                14
3 if ECC result == ARQ then
                                                     // Get the ECC's feedback and detect with
    // Automatic Retransmission Request
                                                        ARQ counter.
    increase(ARQ counter);
                                                     if (ARQ \ counter == 2) then
    ARQ(transmitting flit):
                                                        // BLoD cannot fix the fault, the link
                                                           is failed.
s else
     // The transmitted flit is non faulty
                                                        BLoD control = release:
    Finish:
                                                        // The LAFT routing algorithm handles
                                                           the faulty link.
 // Check the number of consecutive ARQs
                                                        LAFT control = faulty:
                                                17
8 if (ARQ \ counter == 2) then
                                                        Finish:
     // There is a permanent fault
                                                     else
    // Jump to DIAGNOSIS-RECOVERY PHASE
                                                        // BLoD already fixed the failure, the
 // DIAGNOSIS-RECOVERY PHASE:
                                                           recovery step is finished.
 // Start with Input Buffer Checking
                                                        Finish:
```

Algorithm 2: Fault Detection, Diagnosis and Recovery.

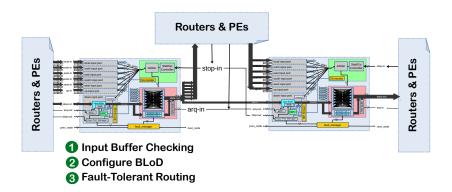




Detection:

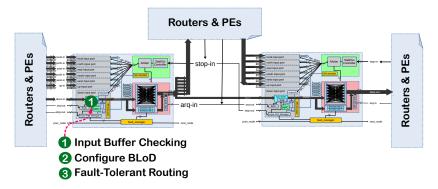
If there are two consecutive ARQ signals (Double-ARQs), it could be a hard fault instead of soft error. DDRM starts monitoring the communication (Diagnosis stage).

Assumption: A soft error, which typically occur in 1 clock cycle, can be recovered by ECC with the help of ARQ. However, a hard fault will demand infinite ARQs which can be detected by Double-ARQs.



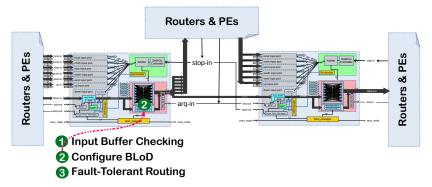
Diagnosis:

DDRM exams the ARQ to find the position of hard fault (1): input buffer, 2: crossbar, or 3: intra-router channel).



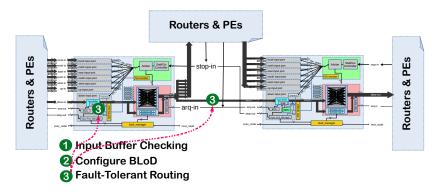
Diagnosis: 1 - input buffer

- If the Double-ARQs repeats at the same buffer slot, this buffer slot if faulty.
- If the Double-ARQs repeats the all buffer slots, the following transmitting path (crossbar, inter-router channel) is faulty. Move to Configure BLoD.



Diagnosis: 2 - Configure BLoD

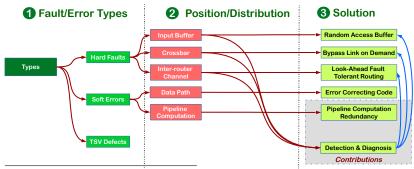
- The router uses an alternative bypass link (assumed being healthy) instead of the original link (input port - output port).
- If the Double-ARQs repeats even with the bypass link, the inter-router channel is faulty. Move to Fault-Tolerant Routing.
- If non Double-ARQs repeats, its mean BLoD correct the crossbar failure.



Diagnosis: 3 - Fault-Tolerant Routing

 The channel failure information is updated to avoid it in the routing process.

Recovery: depend on the position of the fault, the *fault-manager* module send signals to the corresponded fault-tolerant module.



Related Journal Paper

 Khanh N. Dang, Michael Meyer, Yuichi Okuyama and Abderazek Ben Abdallah, "A Low-overhead Soft-Hard Fault Tolerant Architecture, Design, and Management Scheme for Reliable High-performance Many-core 3D-NoC Systems", The Journal of Supercomputing, pp. 1-25, January 2017.

Related Conference Paper

- Khanh N. Dang, Michael Meyer, Yuichi Okuyama and Abderazek Ben Abdallah, "Reliability Assessment and Quantitative Evaluation of Soft-Error Resilient 3D Network-on-Chip Systems", The IEEE 25th Asian Test Symposium (ATS), pp. 161-166, Hiroshima, Japan, November 21-24, 2016. [MAJOR]
- Khanh N. Dang, Yuichi Okuyama, and Abderazek Ben Abdallah, "Soft-error resilient network-on-chip for safety-critical applications", The 2016 International Conference on IC Design and Technology (ICICDT), pp. 1-4, Ho Chi Minh City, Vietnam, June 27-29, 2016. [MAJOR]
- Khanh N. Dang, Michael Meyer, Yuichi Okuyama, Abderazek Ben Abdallah, and Xuan-Tu Tran, "Soft-error resilient 3d network-on-chip router", The 2015 IEEE 7th International Conference on Awareness Science and Technology (iCAST), pp. 84-90 Qinhuangdao, China, September 22-24, 2015. [MAJOR]

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Scalable Cluster-TSV Defect Tolerance (1/5)

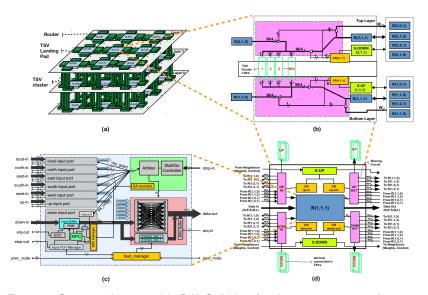


Figure 14: System architecture: (a) 3D NoC, (b) Interface between two routers from adjacent layers; (c) Router architecture; and (d) The wrapped router.

Scalable Cluster-TSV Defect Tolerance (2/5)

Approach:

- A method to organize the TSVs in 3D-NoC systems to handle the cluster defect⁶.
- A cluster-TSV defect recovery method without adding TSV redundancies.
- An adaptive online algorithm to handle the cluster-TSV defect.

Related under review paper

 Khanh N. Dang, Akram Ben Ahmed, Yuichi Okuyama and Abderazek Ben Abdallah, "Scalable design methodology and online algorithm for TSV-cluster defects recovery in highly reliable 3D-NoC systems", IEEE Transactions on Emerging Topics in Computing, (Under Review). [MAJOR], Submitted on March 1, 2017.

⁶In fact, in this design, ECC code can handle random failed TSVs.

Fault Assumption

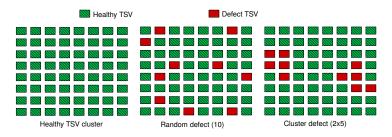


Figure 15: TSV fault assumption.

- This work only focuses on cluster defect. No random defects are considered.
- Detection is assumed to be done by a dedicated module⁷.

⁷DDRM module can help detect the fault occurence; however, it does not support the diagnosis phase.

Scalable Cluster-TSV Defect Tolerance (3/5)

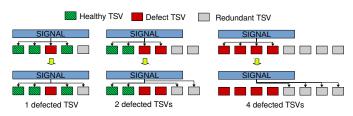


Figure 16: Conventional TSV fault-tolerant method.

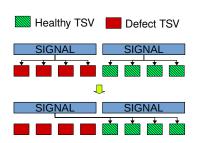


Figure 17: The proposed technique.

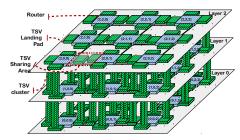


Figure 18: Simplified block diagram of the proposed system with configuration $3 \times 3 \times 3$.

Scalable Cluster-TSV Defect Tolerance (4/5)

Placement of shared TSV clusters

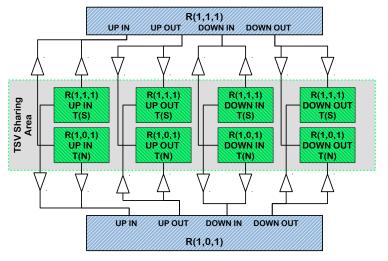
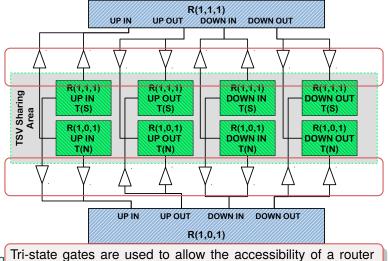


Figure 19: TSV sharing area placement and connectivity between two neighboring routers.

Scalable Cluster-TSV Defect Tolerance (4/5)

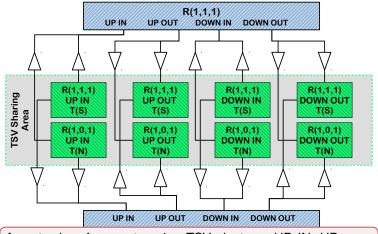
Placement of shared TSV clusters



rou to a TSV cluster.

Scalable Cluster-TSV Defect Tolerance (4/5)

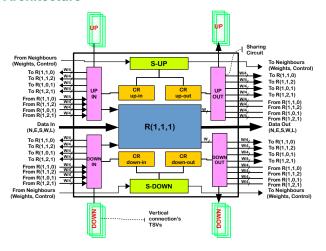
Placement of shared TSV clusters



A router has four ports using TSV clusters: UP IN, UP OUT, DOWN IN and DOWN OUT. They can be eliminated rou if there is no upper/lower layer.

Scalable Cluster-TSV Defect Tolerance (5/5)

Router Architecture



The TSV Router wrapper fault-tolerance architecture. *S-UP* and *S-DOWN* are the sharing arbitrators which manage the proposed mechanism. *CR* stands for configuration register and *W* is the flit width.

Inter-Layer Connection

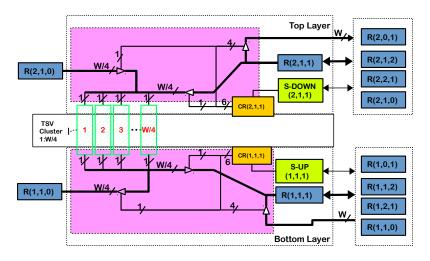
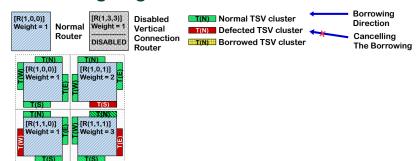
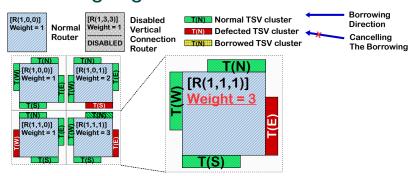


Figure 20: Cluster-TSV connection between two layers.

```
// Weight values of the current router and its N neighbors
   Input: Weight<sub>current</sub>, Weight<sub>neighbor</sub>[1: N]
   // Status of current and neighboring TSV-clusters
   Input: TSV_Status<sub>current</sub>[1:N], TSV_Status<sub>neighbor</sub>[1:N]
   // Request to link TSV-clusters to neighbors
   Output: RQ link[1:N]
   // Current router status
   Output: Router Status
   foreach TSV_Status<sub>current</sub>[i] do
        if TSV Status<sub>current</sub>[i] == "NORMAL" then
2
             // It is a healthy TSV-cluster
             RQ_{link}[i] = "NULL"
 3
        else
 4
             // It is a faulty or borrowed TSV-cluster
             find c in 1:N with:
 5
             Weight_{neighbor}[c] < Weight_{current}
 6
             Weight_{neighbor}[c] is minimal
 7
             and TSV\_Status_{neighbor}[c] == "NORMAL";
             if (c==NULL) then
                  return RQ_{link}[i] = "NULL"
10
                  return Router_Status = "DISABLE"
11
             else
12
                  return RQ \ link[i] = c
13
                  return Router_Status = "NORMAL"
14
```

Algorithm 3: TSV Sharing Algorithm.

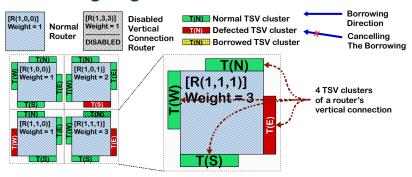




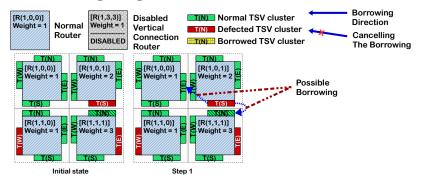
Every router is assigned a weight value.

Note: The weight values can be generated based on traffic of the vertical connection of the router. In this dissertation, we generate higher weights for the middle routers and lower weights for the border routers:

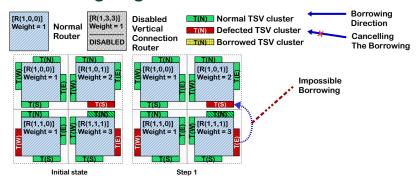
$$Weight_{router}(x, y) = \min(x, cols - x) + \min(y, rows - y) + 1$$
(11)



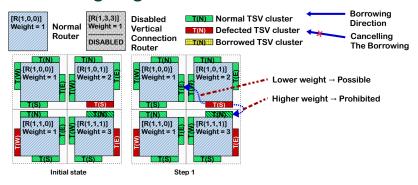
- Every router is assigned a weight value.
- TSVs of a router are organized in four clusters around it.



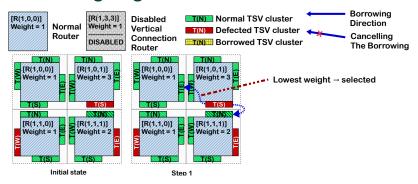
- Every router is assigned a weight value.
- TSVs of a router are organized in four clusters around it.
- Each router having defected/borrowed TSV cluster (red/yellow) can borrow from one of its neighbors.



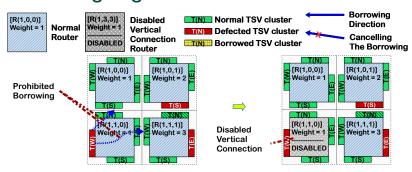
- Every router is assigned a weight value.
- TSVs of a router are organized in four clusters around it.
- Each router having defected/borrowed TSV cluster (red/yellow) can borrow from one of its neighbors.
- The borrowed cluster must be healthy.



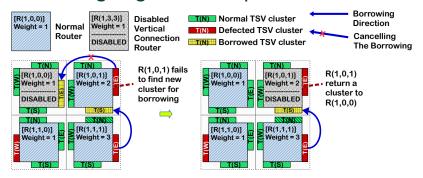
- Every router is assigned a weight value.
- TSVs of a router are organized in four clusters around it.
- Each router having defected/borrowed TSV cluster (red/yellow) can borrow from one of its neighbors.
- The borrowed cluster must be healthy.
- The borrowed cluster must belong to the router having lower weight than the current router.



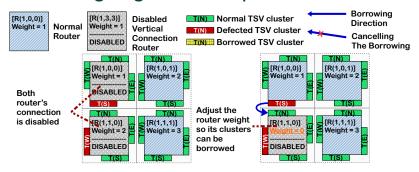
- Every router is assigned a weight value.
- TSVs of a router are organized in four clusters around it.
- Each router having defected/borrowed TSV cluster (red/yellow) can borrow from one of its neighbors.
- The borrowed cluster must be healthy.
- The borrowed cluster must belong to the router having lower weight than the current router.
- The borrowed router must have the lowest weight than all possible candidates.



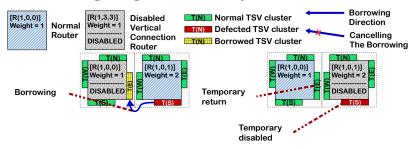
- Every router is assigned a weight value.
- TSVs of a router are organized in four clusters around it.
- Each router having defected/borrowed TSV cluster (red/yellow) can borrow from one of its neighbors.
- The borrowed cluster must be healthy.
- The borrowed cluster must belong to the router having lower weight than the current router.
- The borrowed router must have the lowest weight than all possible candidates.
- If a router fails to find a cluster to maintain its connection, its vertical connection is disabled



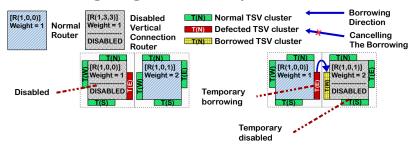
New disabled router will return its borrowing cluster.



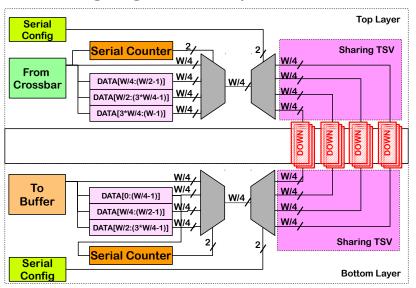
- New disabled router will return its borrowing cluster.
- Disabled router's weights are considered to be adjusted. This helps lower weight routers can gain their operations.



- New disabled router will return its borrowing cluster.
- Disabled router's weights are considered to be adjusted. This helps lower weight routers can gain their operations.
- Virtual TSV: Even being disabled, router can temporarily borrow a cluster to transmit data. Case 1: return the cluster to its origin.



- New disabled router will return its borrowing cluster.
- Disabled router's weights are considered to be adjusted. This helps lower weight routers can gain their operations.
- Virtual TSV: Even being disabled, router can temporarily borrow a cluster to transmit data. Case 2: borrow from a higher weight router.



 Serialization: When it is impossible to have 4 clusters, serialization technique is adopted to maintain the connection.

TSV Sharing Algorithm Example

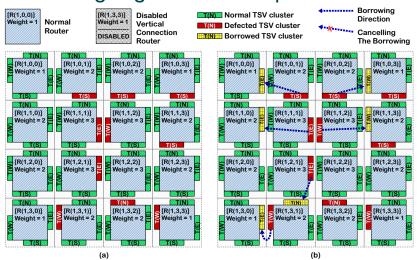


Figure (a): a layer with 10 defected TSV clusters. Figure (b): the routers having defect clusters start to find a replacement. The arrows show the borrowing direction.

TSV Sharing Algorithm Example (cnt.)

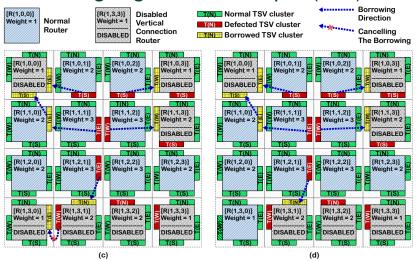
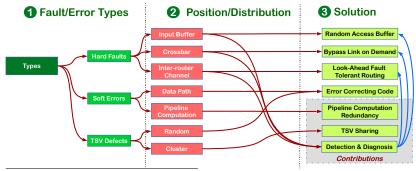


Figure (c): The routers having borrowed clusters also start to find a replacement. If a router is borrowing a cluster and is later disabled, it returns the borrowed cluster to the owner.

Figure (d): The final configuration.

Scalable Cluster-TSV Defect Tolerance

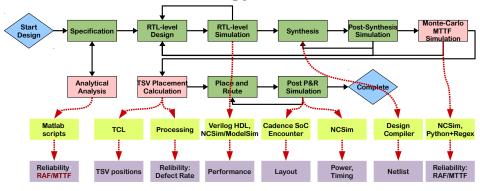


Under review paper

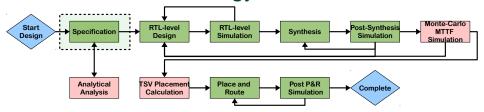
 Khanh N. Dang, Akram Ben Ahmed, Yuichi Okuyama and Abderazek Ben Abdallah, "Scalable design methodology and online algorithm for TSV-cluster defects recovery in highly reliable 3D-NoC systems", IEEE Transactions on Emerging Topics in Computing, (Under Review). [MAJOR], Submitted on March 1, 2017.

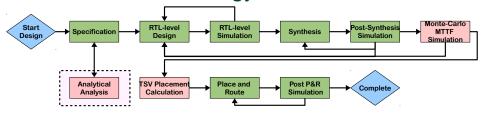
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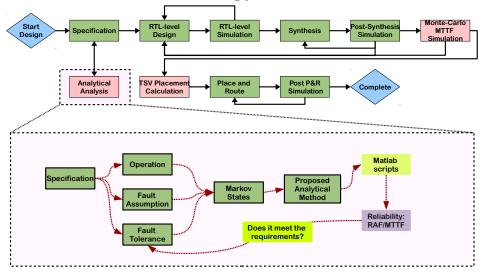
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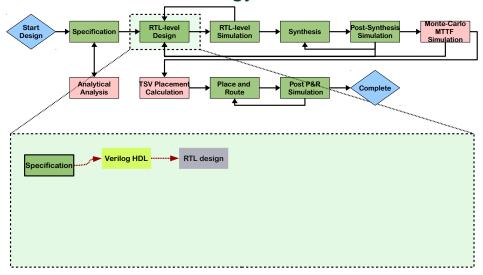


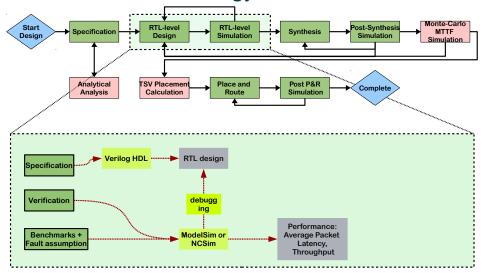


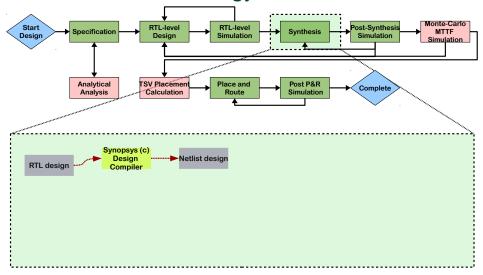


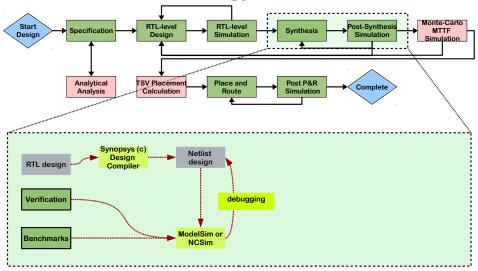


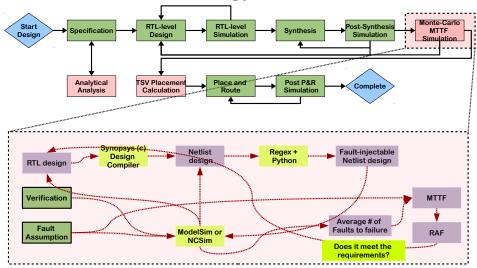


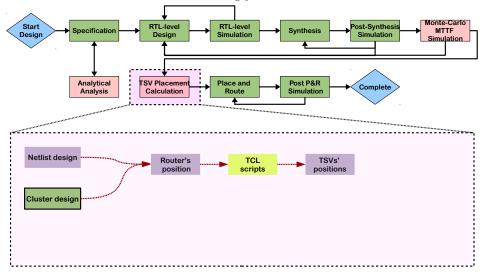


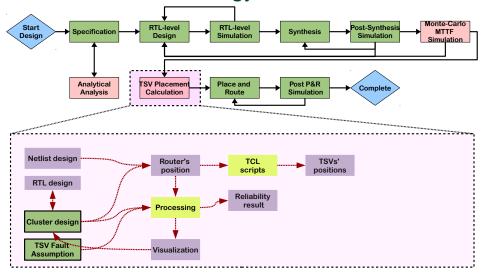


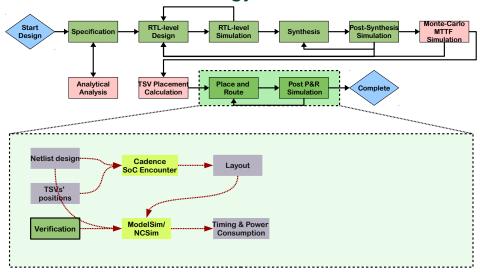












Evaluation Configuration and Assumption

Table 5: Technology parameters.

Parameter	Value	
Technology	Nangate 45 nm FreePDK3D45	
Voltage	1.1 V	
TSV's size	$4.06 \mu m \times 4.06 \mu m$	
TSV pitch	10 μm	
Keep-out Zone	15 μm	

Fault-rate of hard faults:

- Percentage of routers having faults.
- There is no local link is failed.
- Fault-rate of soft errors:
 - For ECC, percentage of ARQ per flit.
 - For PCR, percentage of error per executing clock cycle.

Fault-rate of TSV-cluster defects:

- No random defect.
- TSVs randomly fail in clusters.

Table 6: System configurations.

Parameter	Value	
# ports	7	
Topology	3D Mesh	
Routing Algorithm	Look-ahead routing	
Flow Control	Stall-Go	
Forwarding mechanism	Wormhole	
Input buffer	4	
Flit width	44	

Table 7: Simulation configurations.

Parameter/System		Value
Network Size $(x \times y \times z)$	Matrix	6 × 6 × 3
	Transpose	$4 \times 4 \times 4$
	Uniform	$4 \times 4 \times 4$
	Hotspot 10%	$4 \times 4 \times 4$
	H.264	$3 \times 3 \times 3$
	VPOD	$3 \times 2 \times 2$
	MWD	$2 \times 2 \times 3$
	PIP	$2 \times 2 \times 2$
Total Injected Packets	Matrix	1,080
	Transpose	640
	Uniform	8,192
	Hotspot 10%	8,192
	H.264	8,400
	VPOD	3,494
	MWD	1,120
	PIP	512
Packet's Size	Hotspot 10%	10 flits+10%
		on hostpot nodes
	Others	10 flits

Reliability Assessment Accuracy Comparison

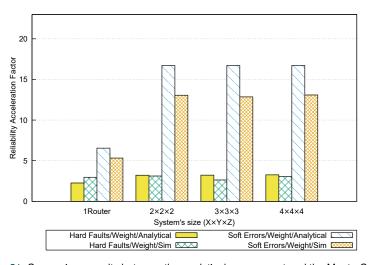


Figure 21: Comparison results between the analytical assessment and the Monte-Carlo MTTF simulation.

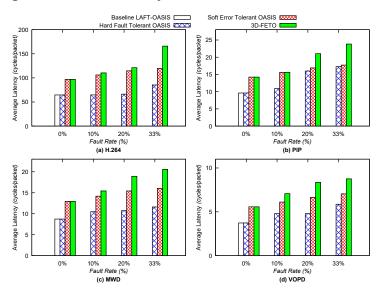
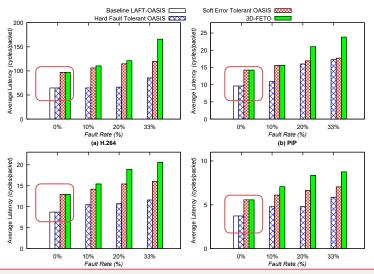
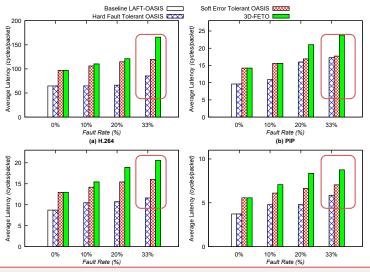


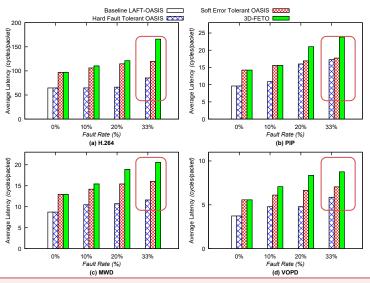
Figure 22: Average packet latency evaluation of the *realistic* benchmarks.



APL at 0% of error rates, Soft Error Tolerant OASIS \simeq 49.95% + the baseline.



APL at 33% of error rates, Soft Error Tolerant OASIS \leq 84.92% + the baseline.



APL at 33% of error rates, 3D-FETO $\leq 2.5 \times$ the baseline.

Throughput of synthetic benchmarks

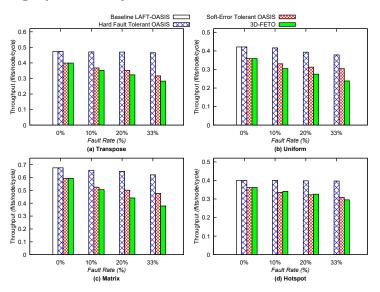
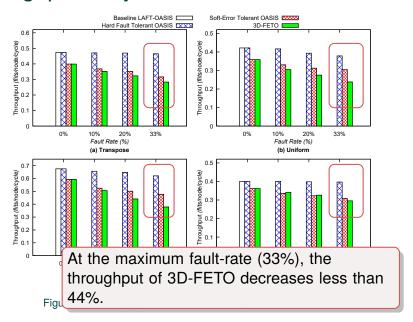


Figure 23: Throughput evaluation of the *synthetic* benchmarks.

Throughput of synthetic benchmarks



Comparison of Soft Error Tolerance

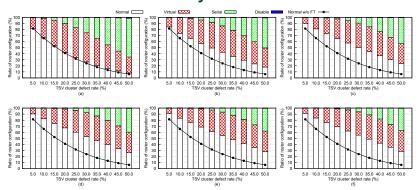
Model	TMR-OASIS8	[12]	[23]	PCR
Mechanism	Majority Voting	Monitor	Monitor	Monitor
Area Overhead	204.33%	9%	3% (average)	54.46%
RAF	$\simeq 1.33$	$\simeq 11.11$	$\simeq 1$ (only detection)	1.84
Delay (cycle)	+0	+0 (no fault)	0% (only detection)	+1 (redudancy)
		+1 (recovery)		+2 (recovery)
Fault Coverage	100% of hard	design specific	design specific	100% soft er-
	faults			rors
	and soft errors	(7 faults)	(13 faults)	
Reovery method	immediately	re-execution	unsupport	re-execution

Summary:

- Pipeline Computation Redundancy (PCR) coverage the maximum soft errors (100%) under the assumption.
- The RAF value of PCR is smaller than the technique by Yu et al. [12].
- The area overhead of PCR is still smaller than the TMR method.

⁸Triple Modular Redundancy for SA and RC

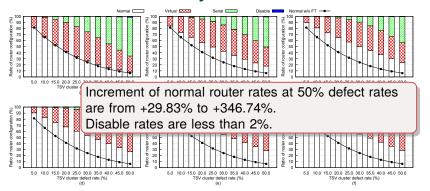
TSV-cluster: Reliability Evaluation



Defect-rate evaluation⁹: (a) Layer size: 2×2 (4 routers, 16 TSV clusters); (b) Layer size: 4×4 (16 routers, 64 TSV clusters); (c) Layer size: 8×8 (64 routers, 256 TSV clusters); (d) Layer size: 16×16 (256 routers, 1024 TSV clusters); (e) Layer size: 32×32 (1024 routers, 4096 TSV clusters); (f) Layer size: 64×64 (4096 routers, 16384 TSV clusters).

⁹We generate 100K cases and calculate the average value.

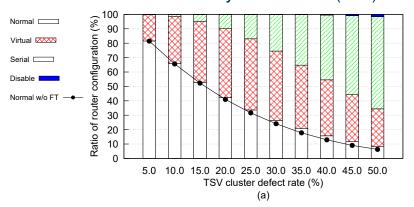
TSV-cluster: Reliability Evaluation



Defect-rate evaluation⁹: (a) Layer size: 2×2 (4 routers, 16 TSV clusters); (b) Layer size: 4×4 (16 routers, 64 TSV clusters); (c) Layer size: 8×8 (64 routers, 256 TSV clusters); (d) Layer size: 16×16 (256 routers, 1024 TSV clusters); (e) Layer size: 32×32 (1024 routers, 4096 TSV clusters); (f) Layer size: 64×64 (4096 routers, 16384 TSV clusters).

⁹We generate 100K cases and calculate the average value.

TSV Fault Tolerance Reliability Evaluation (cnt.)

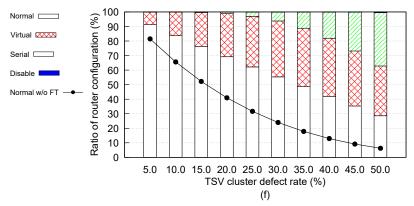


Defect-rate evaluation: (a) *Layer size*: 2×2 (4 routers, 16 TSV clusters).

Normal router rates at 50% defect rates is increased by 29.83%.

Disable rates: 0.23% - 1.57%.

TSV Fault Tolerance Reliability Evaluation (cnt.)



Defect-rate evaluation: (f) *Layer size:* 64×64 (4096 routers, 16384 TSV clusters).

Normal router rates at 50% defect rates is increased by +257.79%.

Disable rates at 50% defect rates: 0.418%.

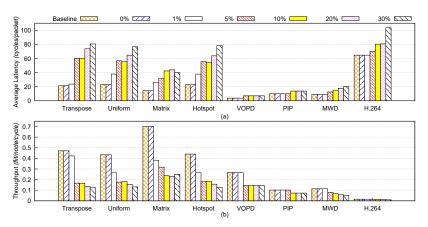


Figure 24: Evaluation result: (a) Average Packet Latency; (b) Throughput.

- Use the same configuration as 3D-FETO.
- Only cluster-TSV defects are randomly injected.

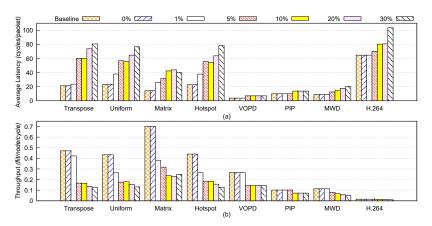


Figure 24: Evaluation result: (a) Average Packet Latency; (b) Throughput.

There are some benchmarks that even we in
• Use the crease the fault rate, the performance is stable

Only or is lightly degraded. For example: PIP, VOPD, MWD.

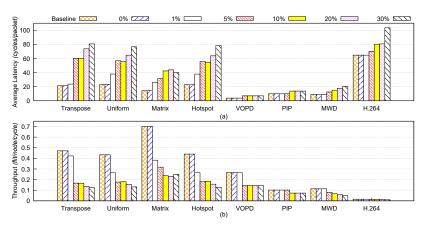


Figure 24: Evaluation result: (a) Average Packet Latency; (b) Throughput.

The impacts on synthetic benchmark is higher

- Use the than realistic benchmarks. APL at 1% of defect
- Only orates: Matrix +83.24% while VOPD, PIP, MWD and H.264 +0%.

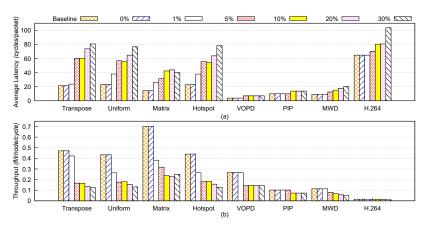


Figure 24: Evaluation result: (a) Average Packet Latency; (b) Throughput.

 Use the marks mostly ×3, the realistic traffics less than
 Only (+129 91% (MM/D) APL at 33% of defect rates: the synthetic bench-

+129.91% (MWD).

Model	TSV Network [10]					
Technology	65 nm					
#TSV			1000			
Configuration	4:2	8:2	8 × 8 : 16	16 × 16 : 32		
#Spare TSV	512	256	512	256	128	
45nm Arbiter Area (μm²)	372 ²	744 ²	1,116 ²	1,116 ²	1,116 ²	
Average Area/TSV (μm²)	151.572 126.244 152.316		126.716	128.03		
Reliability	100%	99%	100%	100%	100%	
Fault Assumption	$(\delta_{TSV} = 0.01\%, \alpha = 2)^4$					
Model	TSV Grouping [11] Th			This	is work	
Technology		N/A		45	nm	
#TSV		6000		8448		
Configuration	4:4 8:4 20:5 11 × 4 ×		4 × 4:0			
#Spare TSV	6000 3000 1500 0		0			
45nm Arbiter Area (μm²)	11,160 ¹ 11,160 ¹ 12,555 ¹ 434,784 ³			1,784 ³		
Average Area/TSV (μm²)	113.916 151.86 127.09			15	1.47	
Reliability		100%		98.11%	100%	
Fault Assumption	$(\delta_{TSV} = 1\%, \alpha = 2)^4$			$\delta_c = 50\%^4$	$\delta_c = 1\%^4$	

¹ The authors use 2:1 multiplexers [11]. For comparison, we use the area cost of multiplexer from Nangate 45nm [24] (MUX2_X1: $0.186\mu m^2$).

² The authors use 1-to-3 multiplexers [10] which consists of two MUX2_X1 multiplexers (2 \times 0.186 μ m² [24]).

³ For fair comparisons, our arbiter only consists of the TSV sharing and serialization modules as shown in Table 9.

⁴ δ : defect-rate. α : parameter of Poisson distribution [10, 11]. δ_c : cluster fault rate, δ_{TSV} : TSV fault rate.

Model	TSV Network [10]					
Technology	65 nm					
#TSV			1000			
Configuration	4:2	8:2	4 × 4 : 8	8 × 8 : 16	16 × 16 : 32	
#Spare TSV	512	256	512	256	128	
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Reliability	100%	99%	100%	100%	100%	
Fault Assumption	$(\delta_{TSV} = 0.01\%, \alpha = 2)^4$					
Model	TSV Grouping [11] This work			s work		
Technology		N/A		45	nm	
#TSV		6000			8448	
	4:4 8:4 20:5					
Configuration	4:4	8:4	20:5	11 ×	4 × 4:0	
#Spare TSV	4:4 6000	8:4 3000	20:5 1500	11 ×	4 × 4:0 0	
#Spare TSV	6000	3000	1500	434	0	
#Spare TSV 45nm Arbiter Area (μm^2)	6000 11,160 ¹	3000 11,160 ¹	1500 12,555 ¹	434	0 4,784 ³ 1.47 100%	

The authors use 2:1 multiplexers [11]. For comparison, we use the area cost of multiplexer from Nangate 45nm [24]

The average area of this work is similar with some cases but worse than the best case. However, this work consists of an online arbitration.

 $0.186 \mu m^2$ [24]). s as shown in Table 9. SV fault rate.

Model	TSV Network [10]					
Technology	65 nm					
#TSV			1000			
Configuration	4:2	8:2	4 × 4 : 8	8 × 8 : 16	16 × 16 : 32	
#Spare TSV	512	256	512	256	128	
45nm Arbiter Area (μm²)	372 ²	744 ²	1,116 ²	1,116 ²	1,116 ²	
Average Area/TSV (μm²)	151.572	126.244	152.316	126.716	128.03	
Reliability	100%	99%	100%	100%	100%	
Fault Assumption	$(\delta_{TSV} = 0.01\%, \alpha = 2)^4$					
Model	TSV Grouping [11] This work			s work		
Technology		N/A		45	nm	
#TSV		6000		8448		
Configuration	4:4 8:4 20:5 11 × 4 × 4:0			4 × 4:0		
#Spare TSV	6000 3000 1500 0		0			
45nm Arbiter Area (μm²)	11,160 ¹ 11,160 ¹ 12,555 ¹ 434,784 ³			4,784 ³		
Average Area/TSV (μm²)	113.916 151.86 127.09			15	1.47	
Reliability		100%		98.11%	100%	
Fault Assumption	$(\delta_{TSV}=1\%, lpha=2)^4$			$\delta_c = 50\%^4$	$\delta_c=1\%^4$	

The authors use 2:1 multiplexers [11]. For comparison, we use the area cost of multiplexer from Nangate 45nm [24] (MUX2_X1: 0.186µm²).

In term of number of TSV, this work doesn't requires any redundancies.

 $0.186 \mu m^2$ [24]). as shown in Table 9. SV fault rate.

Model	TSV Network [10]					
Technology	65 nm					
#TSV			1000			
Configuration	4:2 8:2 4 × 4 : 8			8 × 8 : 16	16 × 16 : 32	
#Spare TSV	512	256	512	256	128	
45nm Arbiter Area (μm²)	372 ²	744 ²	1,116 ²	1,116 ²	1,116 ²	
Average Area/TSV (μm²)	151.572	151.572 126.244 152.316		126.716	128.03	
Reliability	100%	99%	100%	100%	100%	
Fault Assumption	$(\delta_{TSV} = 0.01\%, \alpha = 2)^4$					
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Technology		N/A		45	nm	
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#Spare TSV	6000 3000 1500 0			0		
45nm Arbiter Area (μm²)	11,160 ¹ 11,160 ¹ 12,555 ¹ 434,784 ³			4,784 ³		
Average Area/TSV (μm²)	113.916 151.86 127.09			15	1.47	
Reliability	100% 98.11%			100%		
Tichability		10070		00,0	10070	

The authors use 2:1 multiplexers [11]. For comparison, we use the area cost of multiplexer from Nangate 45nm [24]

In term of reliability, this work provide extremely high working rate: 98.11% of routers even with 50% of clusters are defected...

 $0.186 \mu m^2$ [24]). as shown in Table 9.

Hardware Design Result (1/2)

Table 8: Design parameters.

Parameter	Value
Technology	Nangate 45 nm FreePDK3D45
Voltage	1.1 V
Chip's size	$865\mu m \times 865\mu m$
TSV's size	4.06μ m \times 4.06μ m
TSV pitch	10 μm
Keep-out Zone	15 μm

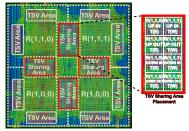


Figure 25: Single layer layout illustrating the TSV sharing areas (red boxes). The layout size is $865\mu m \times 865\mu m$. The sharing TSV area are the red boxes. Each sharing area has 8 clusters for 4 ports and 2 routers.

- Estimated 3D-NoC router layout area: $423.5\mu m \times 423.5\mu m$.
- Estimated 3D-NoC (X × Y × Z) layout area: Z layers × X × 423.5μm × Y × 423.5μm
- E.g.: MWD (X = 2, Y = 2, Z = 3) needs 3D-NoC with layout of $3 \times 865 \mu m \times 865 \mu m$

Hardware Design Result (2/2)

Table 9: Hardware complexity of a single router.

Model		Area		Speed		
		(μm^2)		(mW)		
			Static	Dynamic	Total	
Baseline router	Baseline router [25]		5.1229	0.9429	6.0658	925.28
3D-FTO [21] router ¹⁰		19,143	6.4280	1.1939	7.6219	909.09
Soft Error Toler	Soft Error Tolerance router ¹¹		9.7314	2.6710	12.4024	625.00
3D-FETO route	3D-FETO router ¹²		10.0819	2.7839	12.8658	613.50
	Router	29,780	10.017	2.2574	12.3144	613.50
Final router ¹³	Serialization	3,318	0.9877	0.2807	1.2684	-
	TSV Sharing	5,740	0.7863	0.2892	1.0300	-
	Total	38,838	11.7910	2.8273	14.6128	537.63

¹⁰This router consists of RAB, BLoD, and LAFT.

¹¹This router consists of ECC and PCR.

¹²This router consists all soft error and hard fault tolerant techniques: RAB, BLoD, LAFT, ECC and PCR.

¹³This router is 3D-FETO with TSV management.

Table of Contents

- Background
- 2 Research Motivation
- 3 Goals and Contributions
- 4 Related Works
- 6 Efficient Reliability Assessment for Early Design States
- 6 Soft Error Hard Fault Tolerant Architectures and Algorithms
- Scalable Cluster-TSV Defect Tolerant Algorithm
- 8 Evaluation
- 9 Discussion and Conclusion

Discussion and Conclusion

- This dissertation provides a set of on-chip communication fault-resilient adaptive architectures and algorithms for 3D-NoC IC technologies.
- Moreover, a reliability assessment platform is also presented to help designer estimate the reliability of NoC systems.
- Specifically, Pipeline Computation Redundancy is proposed to handle the soft errors on pipeline stage.
- Detection, Diagnosis, and Recovery Mechanism is also present to on-line handle the hard faults.
- A sharing TSV architectures, algorithms and optimization are proposed and provide a high reliability while are still ensuring a graceful degradation in terms of performance, power, speed and area.

Discussion and Conclusion

- The proposed reliability assessment gives a faster estimation without the need of detailed design.
- The soft error hard fault tolerance system suffer about 40% of throughput; however, it ensures an extremely high fault rate (33%).
- TSV sharing provides nearly 99% of working connection and improves from 29% to 340% of fully worked connection.
- The performances of TSV-cluster fault tolerant system are prominent where the realistic traffic patterns show the similar performance even with higher defect rate.

Future Works

- Further research is needed about the thermal awareness in terms of design, routing and reliability.
- An in-depth study on stress issues is also necessary to understand the potential defects.
- Fault-tolerance also need to be covered in application layers with a cross-layers protocol.

List of publication (1/2)

Refereed Journals

Khanh N. Dang, Michael Meyer, Yuichi Okuyama and Abderazek Ben Abdallah, "A Low-overhead Soft-Hard Fault Tolerant Architecture, Design, and Management Scheme for Reliable High-performance Many-core 3D-NoC Systems", The Journal of Supercomputing, pp. 1-25, January 2017. [MAJOR]

Refereed International conferences

- (1) Khanh N. Dang, Michael Meyer, Yuichi Okuyama and Abderazek Ben Abdallah, "Reliability Assessment and Quantitative Evaluation of Soft-Error Resilient 3D Network-on-Chip Systems", The IEEE 25th Asian Test Symposium (ATS), pp. 161-166, Hiroshima, Japan, November 21-24, 2016. [MAJOR]
- 2 Khanh N. Dang, Yuichi Okuyama, and Abderazek Ben Abdallah, "Soft-error resilient network-on-chip for safety-critical applications", The 2016 International Conference on IC Design and Technology (ICICDT), pp. 1-4, Ho Chi Minh City, Vietnam, June 27-29, 2016. [MAJOR]
- (iCAST), pp. 84-90 Qinhuangdao, China, September 22-24, 2015. [MAJOR]

List of publication (2/2)

Under Re-revision

(1) Khanh N. Dang, Akram Ben Ahmed, Xuan-Tu Tran, Yuichi Okuyama and Abderazek Ben Abdallah, "A Comprehensive Reliability Assessment of Fault-Resilient Network-on-Chip Using Analytical Model", *IEEE Transactions on Very Large Scale Integration Systems*, [MAJOR], Submitted on February 1, 2017.

Under Review

1 Khanh N. Dang, Akram Ben Ahmed, Yuichi Okuyama and Abderazek Ben Abdallah, "Scalable design methodology and online algorithm for TSV-cluster defects recovery in highly reliable 3D-NoC systems", *IEEE Transactions on Emerging Topics in Computing*. [MAJOR], Submitted on March 1, 2017.

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Thank you for your attention!



Optimizations for TSV Sharing

Although TSV sharing significantly enhances the reliability of the vertical connection, there are additional optimizations:

- Weight Adjustment: after finishing the sharing process, there is a chance that a <u>disabled lower weight</u> router can borrow a <u>disabled higher weight router</u> a cluster to obtain 4 clusters. Therefore, Weight adjustment will reduce the weights of the disabled and higher weight routers to optimize.
- Virtual TSV: when a router does not have 4 TSV-clusters, it can <u>temporarily</u> borrow one of its neighbors for communication. This only happens when the neighbor is free to be borrowed.
- Serialization: when even borrowing cannot help the router to obtain 4 clusters, it can perform a serialization mode. Instead of 1 flit/clock cycle, it takes 2 or 4 cycles.
- **Fault-tolerant routing**: at a high fault rate, a router even has no cluster for communication.

Reliability Assessment Configuration

In order to assess the reliability, we first select a random weight where more faults are injected in the protected module. In addition, we extracted the ratio of area cost from hard ware complexity¹⁴.

Table 10: Router's Weight and Gate Ratio.

Module	Submodule	Weight	Gate Ratio
	Network	100%	100%
Network	Routers	70%	100%
	Channels	30%	0%
Router	Router	100%	100%
	Input Buffer	69.72%	7.90%
	Crossbar	8.00%	11.43%
	Switch-Allocator	7.00%	16.97%
	Others	15.28%	63.7%

¹⁴The used router is 3D-FETO (without TSV fault-tolerance).

```
// Weight values of the current router and its N neighbors
Input: Weight<sub>current</sub>, Weight<sub>neighbor</sub>[1: N]
// Status of current and neighboring TSV-clusters
Input: TSV_Status<sub>current</sub>[1:N], TSV_Status<sub>neighbor</sub>[1:N]
// Request to link TSV-clusters to neighbors
Output: RQ link[1:N]
// Current router status
Output: Router Status
foreach TSV_Status<sub>current</sub>[i] do
     if TSV Status<sub>current</sub>[i] == "NORMAL" then
          // It is a healthy TSV-cluster
          RQ_{link}[i] = "NULL"
     else
          // It is a faulty or borrowed TSV-cluster
          find c in 1:N with:
          Weight_{neighbor}[c] < Weight_{current}
          Weight_{neighbor}[c] is minimal
          and TSV\_Status_{neighbor}[c] == "NORMAL";
          if (c==NULL) then
               return RQ_{link}[i] = "NULL"
               return Router_Status = "DISABLE"
          else
               return RQ \ link[i] = c
               return Router_Status = "NORMAL"
```

2

3

4

5

6

7

10

11

12

13

14

Algorithm 4: TSV Sharing Algorithm.

```
// Weight values of the current router and its N neighbors
   Input: Weight<sub>current</sub>, Weight<sub>neighbor</sub>[1: N]
   // Status of current and neighboring TSV-clusters
   Input: TSV_Status<sub>current</sub>[1:N], TSV_Status<sub>neighbor</sub>[1:N]
   // Request to link TSV-clusters to neighbors
   Output: RQ link[1:N]
   // Current router status
   Output: Router Status
   foreach TSV_Statuscurrent[i] do
        if TSV Status<sub>current</sub>[i] == "NORMAL" then
            // It is a healthy TSV-cluster
            RQ\ link[i] = "NULL"
        else
            // It is a faulty or borrowed TSV-cluster
            find c in 1:N with:
            Weight_{neighbor}[c] < Weight_{neighbor}[c]
             Weightneighbor [c] is minir Initialization: the inputs are
 7
            and TSV_Status<sub>neighbor</sub> the weights and TSV-cluster
                 return RQ\_link[i] = status of current and neigh-
10
                 return Router_State
11
                                      boring routers.
            else
12
                 return RQ link[i] = c
13
                 return Router_Status = "NORMAL"
14
```

Algorithm 5: TSV Sharing Algorithm.

```
// Weight values of the current router and its N neighbors
   Input: Weight<sub>current</sub>, Weight<sub>neighbor</sub>[1: N]
   // Status of current and neighboring TSV-clusters
   Input: TSV_Status<sub>current</sub>[1:N], TSV_Status<sub>neighbor</sub>[1:N]
   // Request to link TSV-clusters to neighbors
   Output: RQ link[1:N]
   // Current router status
   Output: Router Status
   foreach TSV_Statuscurrent[i] do
        if TSV Status<sub>current</sub>[i] == "NORMAL" then
             // It is a healthy TSV-cluster
             RQ\ link[i] = "NULL"
 3
        else
             // It is a faulty or borrowed TSV-cluster
             find c in 1:N with:
 5
             Weight_{neighbor}[c] < Weight_{current}
 6
             Weightneighbor [c] is minir Initialization: the output are
 7
             and TSV_Status<sub>neighbor</sub>
                                       the router status and the re-
             if (c==NULL) then
                 return RQ_link[i] = quest signals to neighboring return Router_Stat
10
11
                                       routers.
             else
12
                 return RQ link[i] = c
13
                 return Router_Status = "NORMAL"
14
```

Algorithm 6: TSV Sharing Algorithm.

```
// Weight values of the current router and its N neighbors
   Input: Weight<sub>current</sub>, Weight<sub>neighbor</sub>[1: N]
   // Status of current and neighboring TSV-clusters
   Input: TSV_Status<sub>current</sub>[1:N], TSV_Status<sub>neighbor</sub>[1:N]
   // Request to link TSV-clusters to neighbors
   Output: RQ link[1:N]
   // Current router status
   Output: Router Status
   foreach TSV_Status<sub>current</sub>[i] do
        if TSV Status<sub>current</sub>[i] == "NORMAL" then
 2
            // It is a healthy TSV-cluster
            RQ\ link[i] = "NULL"
        else
            // It is a faulty or borrowed TSV-cluster
            find c in 1:N with:
 5
             Weight_{neighbor}[c] < Weight_{current}
 6
            Weightneighbor[c] is minir Checking the current TSV
 7
            and TSV_Statusneighbor[
                                      status: if all TSV clusters
            if (c==NULL) then
                 return RQ_link[i] = are normal, there is nothing
10
11
                                      to do.
            else
12
                 return RQ link[i] = c
13
                 return Router_Status = "NORMAL"
14
```

Algorithm 7: TSV Sharing Algorithm.

```
// Weight values of the current router and its N neighbors
  Input: Weightcurrent, Weightneighbo
                                    Find a replacement for
  // Status of current and neight
  Input: TSV_Statuscurrent[1:N], T the failed/borrowed clus-
  // Request to link TSV-clusters
                                   ters: if there are failed/bor-
  Output: RQ link[1:N]
                                   rowed TSV clusters, the
   // Current router status
  Output: Router Status
                                   router finds replacement
  foreach TSV_Status<sub>current</sub>[i] do
       if TSV\_Status_{current}[i] == "N" and sends request signal.
           // It is a healthy TSV
                                   If it cannot find, it turns into
           RQ\ link[i] = "NULL"
                                   'DISABLE' mode.
       else
           // It is a faulty or borrowed TSV-cluster
           find c in 1:N with:
            Weight_{neighbor}[c] < Weight_{current}
            Weight_{neighbor}[c] is minimal
7
           and TSV\_Status_{neighbor}[c] == "NORMAL";
           if (c==NULL) then
                return RQ_{link}[i] = "NULL"
10
                return Router_Status = "DISABLE"
11
           else
12
                return RQ \ link[i] = c
13
                return Router_Status = "NORMAL"
14
```

Algorithm 8: TSV Sharing Algorithm.

```
// Weight values of the current router and its N neighbors Input: Weight_{current}, Weight_{neighbor}[1:N]
```

Conditions:

- 1. Candidate *c* should have smaller weight than the current router.
- 2. Candidate *c* should have the smallest weight among the possible ones.
- 3. The TSV cluster from c should be NORMAL.

```
else
             // It is a faulty or borrowed TSV-cluster
             find c in 1:N with:
 5
             Weight_{neighbor}[c] < Weight_{current}
 6
             Weight_{neighbor}[c] is minimal
 7
             and TSV\_Status_{neighbor}[c] == "NORMAL";
             if (c==NULL) then
 9
                  return RQ_{link}[i] = "NULL"
10
                  return Router_Status = "DISABLE"
11
             else
12
                  return RQ \ link[i] = c
13
                  return Router_Status = "NORMAL"
14
```

Algorithm 9: TSV Sharing Algorithm.

Overview of PCR

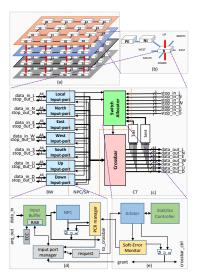


Figure 26: High-level view of the soft-hard error recovery approach: (a) 3D-Mesh based NoC configuration; (b) Tile organization; (c) SHER-3DR router organization; (d) Input-Port; (e) Switch allocation unit.

Speedup of the assessment method

Table 11: Reliability Assessment Speedup.

Evaluated module	A MTTF simulation	Proposed method	Speedup
A router	11 hours	0.090 second	440,000
A $2 \times 2 \times 2$ network	20 hours	0.091 second	791,209
A $3 \times 3 \times 3$ network	2 days	0.092 second	1,878,261
A $4 \times 4 \times 4$ network	3.5 days	0.109 second	2,774,312

Scaling of network reliability

The network reliability doesn't scale up with network size:

- Router vs network: Since router reliability doesn't have the flexibility as inside the network, its reliability is less than the network. E.g. router inside a network can choose an alternative routing path
- The verification of network reliability is uniform, where each node has to send to ever node.
- So, the most critical routing path is actually two neighboring router instead of long routing path.
- Therefore, the fault-tolerant algorithm benefit the reliability but not extremely enhance.

Scaling of network reliability (cnt.)

- We use k-failure model to represent that with k failures, a node is disconnected. The k value is either 3 (corner routers), 4(edge routers), 5 (side routers) or 6 (middle routers). There is an improvement while increasing the network size.
- There is a domination of local (router-PE) failure that reduce the overall reliability.

$$\lambda_{RTR} = P_{corner} \times \lambda_{corner} + P_{edge} \times \lambda_{edge} + P_{side} \times \lambda_{side} + P_{middle} \times \lambda_{middle}$$
 (11)

 λ_{corner} , λ_{edge} , λ_{side} , and λ_{middle} are calculated using model 1: m=1, n = k and r= 0. The based element is λ_{conn} :

$$\lambda_{conn.} = \lambda_{1-input-buffer} + \lambda_{1-crossbar-link} + \lambda_{1-router-channel}$$
 (12)

Throughput of realistic benchmarks

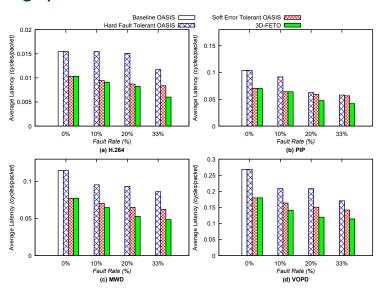


Figure 27: Throughput evaluation of the synthetic benchmarks.

Reliability Assessment Accuracy Comparison (2)

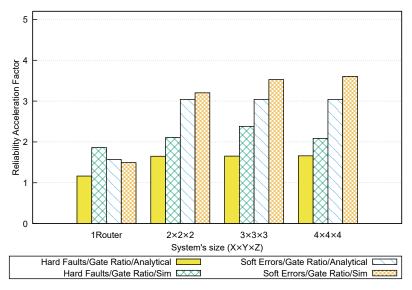


Figure 28: Comparison results between analytical assessment and Monte-Carlo MTTF simulation (cnt.).

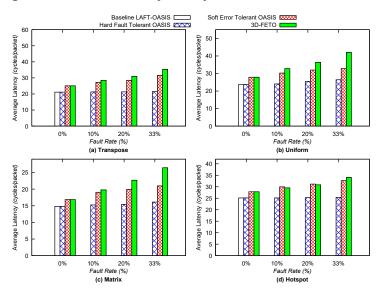
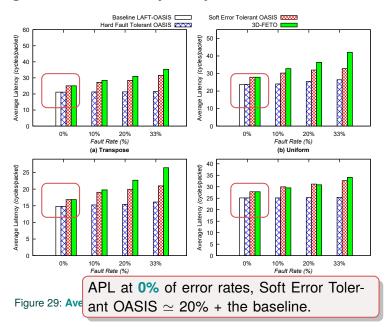
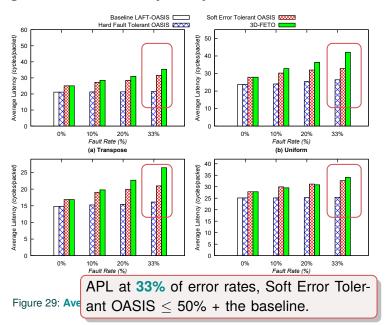
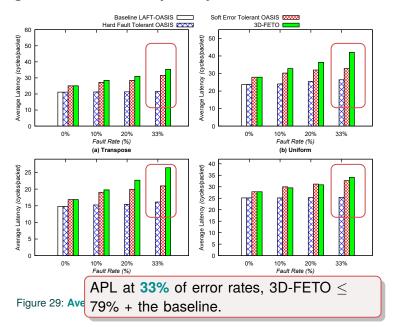


Figure 29: Average packet latency evaluation of the synthetic benchmarks.







Detail of Benchmark

Benchmark	Description	
Transpose	Each node (a,b,c) in a network with (X,Y,Z) sends packets to node (X-a, Y-b, Z-c)	
Uniform	Each node in a network sends packets to all nodes	
Matrix-	Performs C=A*B. Matrix A is stored in	
multiplication	layer-1, is sent to layer-2 which has ma-	
	trix B. The final values are accumulated	
	in layer-3 as matrix C.	
Hotspot 10%	Each node in a network sends packets to	
	all nodes. X (X=1 or 2 or more) nodes	
	have additional 10% amount of traffic.	
Realistic	Generate from task graphs which provide	
Traffic Pat-	the connections (e.g: node $A \rightarrow B$) and the	
tern	traffic (e.g: 100 packets).	

⇒ The following slides will explain these benchmarks in details.

Transpose algorithm

Algorithm 10: Transpose Algorithm.

Uniform algorithm

4 return C

3

Algorithm 11: Uniform Algorithm.

Matrix-multiplication algorithm

```
Input: layerA(n, n), layerB(n, n), layerC(n, n),
   Input: A(n, n), B(n, n)
   Output: C(n, n)
   foreach node (i,j) in IayerA(n, n) do
        send A(i,j) \rightarrow layerB(j,i)
   foreach node (i,j) in layer B(n,n) do
         receive A(j,i)
         R(i,j) = A(j,i) \times B(i,j)
5
         foreach k in 1:n do
6
              send R(i,j) \rightarrow layerC(i,k)
7
   foreach node (i,j) in IayerC(n, n) do
         foreach k in 1:n do
              send C(i,j) = C(i,j) + R(k,i)
10
```

return C(n, n) from layerC(n, n)**Algorithm 12:** Matrix-multiplication Algorithm.

Hotspot algorithm

return C

```
// Network
  Input: Network(X, Y, Z)
  // Amount of data for each communication
  Input: D
  // Extra percentage of hotspot node
  Input: E
  // Communication set
  Output: C = \{c_i : (source \rightarrow destination, amount of data)\}
  foreach node (a,b,c) in Network(X,Y,Z) do
       foreach node (m,n,p) in Network(X,Y,Z) do
            if node (m,n,p) is hotspot node then
3
                 add ((a, b, c) \rightarrow (m, n, p), (D+D*E/100) packets) to C
4
            else
5
                 add ((a, b, c) \rightarrow (m, n, p), D \text{ packets}) to C
6
```

Algorithm 13: Hotspot Algorithm.

Algorithm of Realistic Benchmark

ProgramCounter++;

```
Input: Network(X, Y, Z)

// Communication set
Input: C = \{c_i : (source \rightarrow destination, D, O)\}

1 ProgramCounter = 0;

2 foreach node (i,j,k) in Network(X, Y, Z) do

3  foreach c_i in C do

4  if c_i(source) == (i,j,k) and ProgramCounter == O then

5  if c_i(destination) == (i,j,k) and ProgramCounter == O then

7  receive c_i(D) packets.

8 if all destinations completedly receive their own c_i(D) packets then
```

Algorithm 14: Realistic Benchmark Algorithm.

Task mapping (1/5)

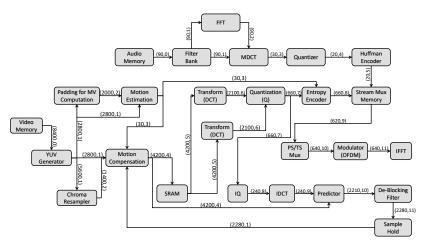


Figure 30: H.264 Task Graph.

Task mapping (2/5)

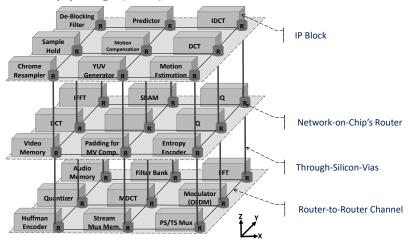


Figure 31: H.264 Task Map.

Task mapping (3/5)

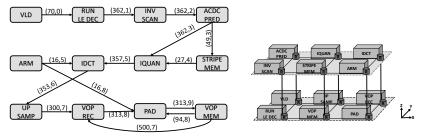


Figure 32: VOPD Task Map.

Task mapping (4/5)

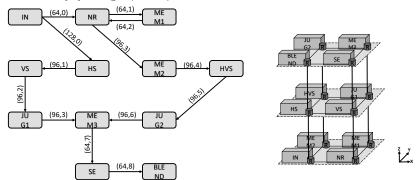


Figure 33: MWD Task Map.

Task mapping (5/5)

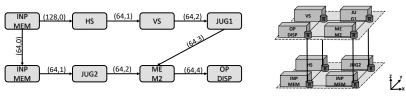


Figure 34: PIP Task Map.

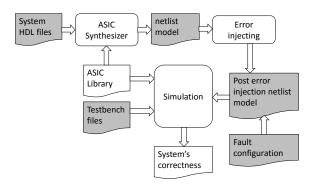


Figure 35: Monte-Carlo setting up flow.

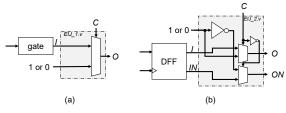


Figure 36: Error Injector architecture (a) Single output gate, (b) Flip-flop with two outputs.

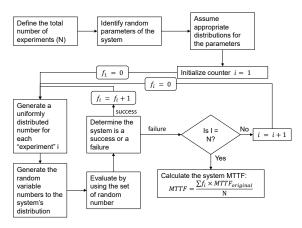


Figure 37: MTTF Monte-Carlo simulation process.

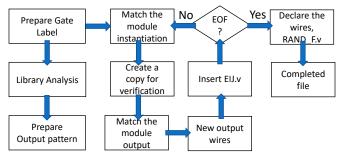


Figure 38: Flow chart of error injector inserting.

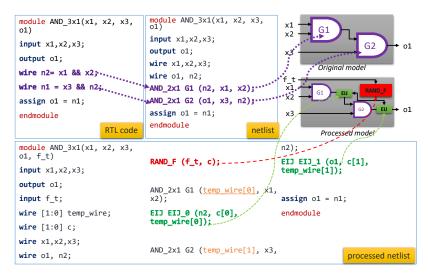
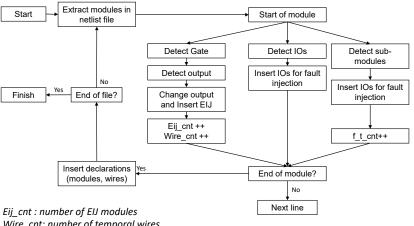


Figure 39: An example of input and output of the netlist processing.



Wire_cnt: number of temporal wires f_t_cnt: number of trigger signals

Figure 40: Netlist processing for multiple modules file.

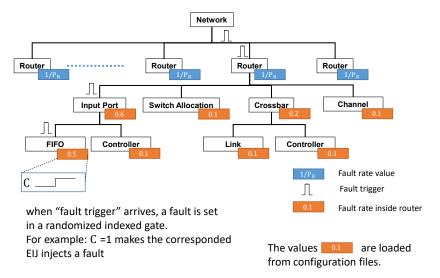


Figure 41: Fault trigger.